

On Highway Engine Extended Service Coverage (ESC) Late Fees Effective January 1, 2022

New and Reman Engine ESC Registrations

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Greater than 365 days but less than 731 days	\$350	None
Greater than 731 days	\$350	Cat Financial Insurance Services Approval Required

New and Reman Engine ESC Upgrades

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Greater than 365 days but less than 731 days	\$0	None
Greater than 731 days		Not Allowed

New and Reman Engine ESC Extensions

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Anytime throughout the life of the contract	\$0	None

OPT, Advantage Plus and Acertify Registrations

<u>Time from Overhaul/Inspection Date</u>	<u>Late Fee</u>	<u>Approval</u>
Greater than 30 days but less than 366 days	\$100	None
Greater than 365 days	\$100	Cat Financial Insurance Services Approval Required

OPT Upgrades (adding towing)

<u>Time from Overhaul/Inspection Date</u>	<u>Late Fee</u>	<u>Approval</u>
Less than 366 days	\$0	None
Greater than 365 days		Not Allowed

OPT Extensions

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Anytime throughout the life of the contract	\$0	None

Advantage Plus and Acertify Upgrades → Not Allowed

Advantage Plus and Acertify Extensions

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Anytime throughout the life of the contract	\$0	None

Precious Metals and Component Plus Registrations

<u>Time From kit/Component Date Purchase Date</u>	<u>Late Fee</u>	<u>Approval</u>
Less than 121 days	\$0	None
Greater than 120 days but less than 366 days	\$100	None
Greater than 365 days		Not Allowed

Precious Metals and Component Plus Upgrades → Not Allowed

Precious Metals and Component Plus Extensions

<u>Time From In Service Date</u>	<u>Late Fee</u>	<u>Approval</u>
Anytime throughout the life of the contract	\$0	None

Note: Registrations/upgrades/extensions will be charged the ESC premium in force on the date the registration/upgrade/extension is registered. The cost of upgrades/extensions will be calculated based on the difference between Cat[®] Dealer Net price that was paid for the coverage prior to the upgrade/extension and the Cat Dealer Net price for the desired coverage on the date the change in coverage is processed.

Note: Registrations beyond the time limits indicated above require proof of purchase and approval from Cat Financial Insurance. Not all requests will be approved. Premium amounts charged for late registrations will be at the current rate for the desired terms on the day of the registration. Any previous, pending or in process repairs will not be covered by ESC. Only failures that occur following the registration will be considered.

Note: Registration Downgrades in coverage and/or coverage term are not allowed

Note: With the exception of adding towing to OPT, Upgrades for used coverages are not allowed.

Definitions

Extension - to extend currently registered coverage by adding time and/or distance (months and miles/kilometers).

Example - currently has ESC Plus 48 month / 500K miles & desires 60 month / 600K miles.

Upgrade - to change currently registered coverage or add coverage not currently registered.

Example - currently has ESC & desires ESC Plus or desires to add injector coverage.